

**Securities Regulatory Cooperation for Cross-border Listings and Transactions\***  
**(Online international conference)**

**跨境證券上市和交易的監管合作(網上國際研討會)**

**11-12 December 2020 (Friday- Saturday, HK time)**

**2020年12月11-12日 (週五, 週六, 香港時間)**

**Gentle Reminder:**

1. Due to reasons such as the time difference, Session One is scheduled for the Morning of Friday 11 December 2020, 8-9:50am, and Session Two is the Evening (and not Afternoon) of Friday 11 December 2020, 8-9:30pm. Both sessions are conducted in English.
2. Session Three and Four are scheduled for the Morning of Saturday 12 December 2020, 9-12:30noon, both conducted in Mandarin.
3. All times referred to in the program are Hong Kong time.

**溫馨提示:**

1. 由於中美時差等原因, 第一單元是12月11日週五上午8-9:50, 英文進行, 第二單元不是下午, 而是晚上8-9:30, 英文進行;
2. 第三單元和第四單元是12月12日週六上午9-12:30, 中文進行。
3. 會議日程的時間都是指香港本地時間。

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**Policy Innovation and Co-ordination Office**  
The Government of the Hong Kong Special Administrative Region

11 December 2020 (Friday) Day 1

Welcome

歡迎辭

**8:00- 8:10 am**

Dean/Professor Lutz-Christian Wolff, CUHK LAW

Lutz-Christian Wolff, 香港中文大學法律學院院長

**Session One**  
**(conducted in English)**  
**第一單元(英文)**

**Cross-border Audit Oversight Dispute**  
**跨境會計監管爭議**

**8:10- 9:50 am**

Chair: Robin Hui Huang, CUHK LAW

主持人:黃輝, 香港中文大學法律學院

*The China Audit Dispute: Why It Matters, but Why It Might Not Matter Much*

Jesse Fried, Harvard Law School

Jesse Fried, 哈佛大學法學院

*Regulatory Cooperation in Financial and Social Audits*

Curtis Milhaupt and Colleen Honigsberg, Stanford Law School

Curtis Milhaupt and Colleen Honigsberg, 斯坦福大學法學院

*The Causes and Prospects of the US-China Auditing Oversight Dispute*

Jing Leng, East China University of Political Science and Law

冷靜, 華東政法大學國際金融法律學院

*Unlocking the Deadlock of Sino-US Cooperation in Audit Supervision Concerning China-concept Companies*

Yan Liu, Peking University Law School

劉燕, 北京大學法學院

Discussant: Donald Clarke, George Washington Law School; Donghui Wu, CUHK Business School

與談人:郭丹青, 喬治華盛頓大學法學院; 吳東輝, 香港中文大學商學院

Session Two  
(conducted in English)  
第二單元 (英文)

Cross-border Securities Misconduct  
跨境證券不當行為的監管

**8:00- 9:30 pm**

Welcome; Chair: Weixia Gu, HKU LAW

歡迎辭, 主持人: 顧維遐, 香港大學法律學院

*The Cross-Border Reach of US Insider Trading Doctrine*

Donald Langevoort, Georgetown Law School

Donald Langevoort, 喬治城大學法學院

*Market Segregation, Regulatory Fragmentation and Cross-border Securities Law Enforcement in China*

Yingmao Tang, Peking University Law School

唐應茂, 北京大學法學院

*Understanding Public Enforcement of Securities Law in China: An Empirical Analysis of the Enforcement Actions of the CSRC and Its Regional Offices against Informational Misconduct*

Wenming Xu, China University of Political Science and Law, School of Law and Economics

徐文鳴, 中國政法大學法與經濟學學院

Discussant: Wei Zhang, Singapore Management University Law School; Wei Shen, Shanghai Jiaotong University Law School

與談人: 張巍, 新加坡管理大學法學院; 沈偉, 上海交通大學法學院

12 December 2020 (Saturday) Day 2

Welcome

歡迎辭

9:00- 9:10 am

Robin Hui Huang, CUHK LAW  
黃輝, 香港中文大學法律學院

Session Three  
(conducted in Chinese)  
第三單元(中文)

Extraterritorial Jurisdiction of Chinese Securities Law  
中國證券法的域外管轄權

9:10- 10:50 am

Chair: James Si Zeng, CUHK LAW  
主持人: 曾思, 香港中文大學法律學院

*Morrison Ten Years On, and Lessons for the PRC's Application of its Anti-Fraud Rules to Securities Transactions Outside of the Mainland*

Nicholas Howson, Michigan Law School  
郝山, 密歇根大學法學院

*The Chinese Securities Extraterritorial Regulation Fledging*

Li Guo, Peking University Law School  
郭麗, 北京大學法學院

*The Extraterritorial Application of the Newly Revised Securities Law of PRC: Is Luckin Coffee a Good Case?*

Sean Xin Tang, Tsinghua University Law School  
湯欣, 清華大學法學院

*U.S. Courts Practice of Extraterritorial Jurisdiction over Securities and its Inspiration to China*

Tao Du, East China University of Political Science and Law, International Law School  
杜濤, 華東政法大學國際法學院

Discussant: Jie Chen, China Social Science Academy, Institute of Law; Lin Ye, Renmin University Law School

與談人: 陳潔, 中國社會科學院法學所; 葉林, 中國人民大學法學院

10:50- 11:00 am: Break 休息

Session Four  
(conducted in Chinese)  
第四單元(中文)

Recognition and Enforcement of Foreign Civil Judgments  
境外民事判決的承認與執行

11:00 am- 12:20 noon

Chair: Jiangyu Wang, CityU LAW

主持人: 王江雨, 香港城市大學法學院

*The Recognition and Enforcement of Foreign Civil and Commercial Judgments in China: Past, Present, and Future*

Wei Gao, Peking University Law School

高薇, 北京大學法學院

*National Interest in Enforcement of Foreign Judgment: A Review in the Middle of the Trade War*

Dicky Tsang, CUHK LAW

曾勁峰, 香港中文大學法律學院

*A Conflict of Laws Study in Hong Kong–China Judgment Regionalism: Legal Challenges and Renewed Momentum*

Weixia GU, HKU LAW

顧維遐, 香港大學法律學院

Discussant: Yixin Song, Shanghai Hanlian Law Firm; Li Chen, Fudan University Law School

與談人: 宋一欣, 上海漢聯律師事務所; 陳力, 復旦大學法學院

Concluding Remarks

閉幕辭

12:20- 12:30 pm

Robin Hui Huang, CUHK LAW

黃輝, 香港中文大學法律學院

Weixia GU, HKU LAW

顧維遐, 香港大學法律學院